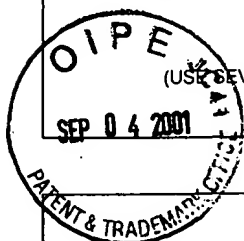


FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
MITRAL.1CP1D1APPLICATION NO.  
09/909,101INFORMATION DISCLOSURE STATEMENT  
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3738

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>UC</i>	1.	4,128,100	12/1978	Wendoff			
	2.	4,917,698	04/1990	Carpentier et al.			
	3.	5,006,106	04/1991	Angelchik			
	4.	5,041,130	08/1991	Cosgrove et al.			
	5.	5,061,277	10/1991	Carpentier et al.			
	6.	5,064,431	11/1991	Gilbertson et al.			
	7.	5,099,838	03/1992	Bardy			
	8.	5,104,407	04/1992	Lam et al.			
	9.	5,163,955	11/1992	Love et al.			
	10.	5,165,403	11/1992	Mehra			
	11.	5,201,880	04/1993	Wright et al.			
	12.	5,290,300	03/1994	Cosgrove et al.			
	13.	5,350,420	09/1994	Cosgrove et al.			
	14.	5,450,860	09/1995	O'Connor			
	15.	5,476,471	12/1995	Shifrin et al.			
	16.	5,562,619	10/1996	Mirarchi et al.			
	17.	5,593,424	01/1997	Northrup III			
	18.	5,702,343	12/1997	Alfemess			
	19.	5,716,397	02/1998	Myers			
	20.	5,824,066	10/1998	Gross			
	21.	5,919,233	07/1999	Knopf et al.			
	22.	5,961,440	10/1999	Schweich, Jr. et al.			
	23.	6,001,127	12/1999	Schoon et al.			
	24.	6,045,497	04/2000	Schweich Jr. et al.			
	25.	6,050,936	04/2000	Schweich Jr. et al.			
	26.	6,059,715	05/2000	Schweich, Jr. et al.			
	27.	6,077,214	06/2000	Mortier et al.			

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*Ursula Christensen*

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UC	28.	6,095,968	08/2000	Snyders			
	29.	6,110,100	08/2000	Talpade			
	30.	6,123,662	09/2000	Alferness et al.			
	31.	6,143,024	11/2000	Campbell et al.			
	32.	6,146,325	11/2000	Lewis et al.			
	33.	6,155,968	12/2000	Wilk			
	34.	6,155,972	12/2000	Nauertz et al.			
	35.	6,165,122	12/2000	Alferness			
	36.	6,174,279 B1	01/2001	Girard			
	37.	6,183,411 B1	02/2001	Mortier et al.			
	38.	6,183,512 B1	02/2001	Howanec, Jr. et al.			
	39.	6,193,648 B1	02/2001	Krueger			
	40.	6,210,432 B1	04/2001	Solem et al.			
	41.	6,217,610 B1	04/2001	Carpentier et al.			
	42.	6,221,103 B1	04/2001	Melvin			
	43.	6,230,714 B1	05/2001	Alferness et al.			
	44.	6,241,654 B1	06/2001	Alferness			
✓	45.	6,250,308 B1	06/2001	Cox			

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
UC	46.	WO 91/19465	12/1991	WIPO				
	47.	WO 96/34211	10/1998	WIPO				
	48.	WO 99/44534	09/1999	WIPO				
↓	49.	WO 00/18320	04/2000	WIPO				
UC	50.	WO 01/00111 A1	01/2001	WIPO				

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
UA	51.	WO 01/00114A1	01/2001	WIPO				
	52.	EP 0 727 239 A2	08/1996	Europe				
	53.	EP 0727 239 A3	04/1997	Europe				
	54.	DE 196 05 042 A1	01/1998	Germany			✓	

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UA	55.	Boyd et al., <i>Tricuspid annuloplasty</i> , September 1974, <i>The Journal of Thoracic and Cardiovascular Surgery</i> , pg. 344,351
	56.	Kurlansky et al., <i>Adjustable Annuloplasty for Tricuspid Insufficiency</i> , October 1987, <i>The Annals of Thoracic Surgery</i> , pg. 404-406
	57.	Alonso-Lej, <i>Adjustable Annuloplasty for Tricuspid Insufficiency</i> , September 1988, <i>The Annals of Thoracic Surgery</i> , Letter to the Editor, pg. 368-369
	58.	Chachques et al., <i>Latissimus Dorsi Dynamic Cardiomyoplasty</i> , 1989, <i>The Society of Thoracic Surgeons</i> , pg. 600-604
	59.	McCarthy et al., <i>Clinical experience with the Novacor ventricular assist system</i> , May 1990, <i>J Thorac Cardiovasc Surg</i> , pg. 578-587
	60.	Farrar et al., <i>A New Skeletal Muscle Linear-pull Energy Convertor as a Power Source for Prosthetic Circulatory Support Devices</i> , September 1992, <i>The Journal of Heart and Lung Transplantation</i> , pg. S341-S349
	61.	Bolling et al., <i>Early outcome of mitral valve reconstruction in patients with end-stage cardiomyopathy</i> , April 1995, <i>The Journal of Thoracic and Cardiovascular Surgery</i> , pg. 676-683
	62.	Bach et al., <i>Early improvement in congestive heart failure after correction of secondary mitral regurgitation in end-stage cardiomyopathy</i> , June 1995, <i>American Heart Journal</i> , pg. 1165-1170
	63.	Bearson et al., <i>Development of a Prototype Magnetically Suspended Rotar Ventricular Assist Device</i> , <i>ASAIO Journal</i> 1996, pg. 275-280
	64.	<i>Thoratec Ventricular Assist Device System</i> , 1996 Brochure
	65.	McCarthy et al., <i>Early Results with Partial Left Ventriclectomy</i> , May 1997, Presented at the 77 <sup>th</sup> Annual Meeting of the American Association of thoracic Surgeons
	66.	Buchanan et al., <i>Circumferential Suture of the Mitral Annulus for Correction of Mitral Regurgitation in Dogs</i> , 1998, <i>Veterinary Surgery</i> Vol. 27, pg. 182-193
	67.	Yamani et al., <i>Surgical Treatment of Chronic Heart Failure</i> , 2000, <i>Congestive Heart Failure Second Edition</i> , pg 767-784
	68.	Bristow et al., <i>Heart Failure Management Using Implantable Devices for Ventricular Resynchronization: Comparison of Medical Therapy, Pacing, and Defibrillation in Chronic Heart Failure (COMPANION) Trial</i> , September 2000, <i>Journal of Cardiac Failure</i> , Vol. 6, No. 3, pg 276-285
	69.	Smolens et al., <i>Mitral Valve Repair in Heart Failure</i> , 2000, <i>European Journal of Heart Failure</i> 2, pg. 365-371

EXAMINER	<i>Kevin Chatterjee</i>	DATE CONSIDERED	<i>12/30/2002</i>
<p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p>			

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MITRAL.1CP1D1	APPLICATION NO. 09/909,101
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Jonathan J. Langberg, et al.	
		FILING DATE July 19, 2001	GROUP 3738



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
MC	5,961,440	10/05/99	Schweich, Jr. et al.			
	6,045,497	04/04/00	Schweich, Jr. et al.			
	6,050,936	04/18/00	Schweich, Jr. et al.			
	6,059,715	05/09/00	Schweich, Jr. et al.			
	6,077,214	06/20/00	Mortier et al.			
	6,183,411 B1	02/06/01	Mortier et al.			
	6,264,602 B1	07/24/01	Mortier et al.			
	6,261,222	07/17/01	Mortier et al.			
	6,260,552B1	07/17/01	Mortier et al.			
↓	6,165,119	12/26/00	Schweich, Jr. et al.			

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
MC	WO 99/30647	06/24/99	PCT				
	WO 98/29041	07/09/98	PCT				
	WO 01/28455 A1	04/26/01	PCT				
	WO 00/16700	03/30/00	PCT				
	WO 00/06028	02/10/00	PCT				
↓	WO 00/06026	02/10/00	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

EXAMINER	<i>Theresa Chatterjee</i>	DATE CONSIDERED	12/30/2002
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MITRAL.1CP101	APPLICATION NO. 09/909,101
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Jonathan J. Langberg, et al.	
		FILING DATE July 19, 2001	GROUP 3738


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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
UC		6,162,168	12/19/00	Schweich, Jr. et al.	1	1	
↓		6,110,100	08/29/00	Talpade	1	1	
✓		6,165,120	12/26/00	Schweich, Jr. et al.	1	1	

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
UC		WO 00/06027	02/10/00	PCT	1	1		
↓		WO 99/44534	09/10/99	PCT	1	1		
✓		WO 96/34211	10/31/96	PCT	1	1		
		WO 00/18320	04/06/00	PCT	1	1		

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	

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 <p>FORM PTO-1449</p> <p>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p>INFORMATIONAL DISCLOSURE STATEMENT BY APPLICANT</p> <p>(USE SEVERAL SHEETS IF NECESSARY)</p>	<p>ATTY. DOCKET NO. MITRAL.1CP1D1</p>	<p>APPLICATION NO. 09/909,101</p>
	<p>APPLICANT Jonathan J. Langberg et al.</p>	
	<p>FILING DATE July 19, 2001</p>	<p>GROUP 3738</p>

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
JCS	1.	5,545,209	08/1996	Roberts et al.			
	2.	5,891,108	04/1999	Leone et al.			
	3.	5,961,545	10/1999	Lentz et al.			
	4.	6,051,020	04/2000	Goicoechea et al.			
	5.	6,077,296	06/2000	Shokoohi et al.			
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OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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